

CATEGORISED PRODUCT LISTING



OPEN TO NEW BUSINESS: CATEGORY 1

The products listed below are Category 1 products. Sovereign is not the issuer or promoter of these products as defined by the Securities Act 1978.

PRODUCT/SERVICE NAME	FAA PRODUCT CATEGORY
Select Wealth Management	Category 1
Investor Choice	Category 1
FirstChoice Kiwisaver	Category 1

Sovereign QFE Nominated Representatives cannot provide financial adviser services for the three products listed above as they are not products issued and/or promoted by the Sovereign QFE.

OPEN TO NEW BUSINESS: CATEGORY 2

The products listed below are Category 2 products, which are open to new business.

PRODUCT/SERVICE NAME	FAA PRODUCT CATEGORY
Absolute Healthcare	Category 2
Fifty Plus Accident Stand Alone	Category 2
Fifty Plus Life Insurance	Category 2
Freehold	Category 2
Start-up Income Protection	Category 2
TotalCare Max – Business	Category 2
TotalCare Max – Personal	Category 2

CLOSED TO NEW BUSINESS: CATEGORY 1

The products listed below are Category 1 products closed to new business where Sovereign is the issuer or promoter as defined by the Securities Act 1978.

PRODUCT/SERVICE NAME	FAA PRODUCT CATEGORY
Aetna SuperPlan	Category 1
Cash and Income Super Fund (Sail-In)	Category 1
Cash Superannuation Fund (Sail-In)	Category 1
Classic Personal Retirement Plan	Category 1
Financial Independence Plan (Super)	Category 1
Complete Investor Plan (Super)	Category 1
Flagship Super	Category 1
Landmark Super Retirement Plan	Category 1
Metropolitan Superannuation Fund	Category 1
NZI Vision Investment Fund (Annual Premium)	Category 1
Personal Superannuation Plan (PSP)	Category 1
Prudasco	Category 1
SuperLink	Category 1
Superplan 9001	Category 1
SuperWise	Category 1
Tui Accessible	Category 1
Tui Plan Locked-in Trust	Category 1
Tui Retirement Fund	Category 1
Vision Retirement Plan	Category 1

CLOSED TO NEW BUSINESS: CATEGORY 2

The products listed below are Category 2 products closed to new business.

PRODUCT/SERVICE NAME	FAA PRODUCT CATEGORY
Accelerated Disability Benefit	Category 2
Adaptable Term	Category 2
Aeconomaster	Category 2
Aeconoterm	Category 2
AnchorSure Health MajorCare	Category 2
Business Bonus Endowment	Category 2
Business Insurance Program	Category 2
Business Overheads Cover	Category 2
BusinessCare (Low Cost)	Category 2
BusinessCare (With Savings)	Category 2
Child Cover Option on TotalCare Vitalcare	Category 2
Child's Endowment	Category 2
Child's Whole of Life	Category 2
CLA Unbundled	Category 2
Combination Policy	Category 2
Combination/ Co-operative Policy	Category 2
Complete Investor Plan (Life)	Category 2
Convertible Decreasing Term Assurance	Category 2
Convertible Temporary Insurance	Category 2
Convertible Term Assurance	Category 2
Co-operative Series	Category 2
Critical Illness Cover (1993) Death Benefit	Category 2
Critical Illness Cover (1993) TPD Benefit	Category 2
Critical Illness Cover Essential (1993)	Category 2
Critical Illness Cover Executive (1993)	Category 2
Critical Illness Cover Value (1993)	Category 2
Critical Illness Protection Plan – Essential	Category 2
Critical Illness Protection Plan – Premier	Category 2
Decreasing Temporary Insurance	Category 2
Decreasing Term Assurance	Category 2
Design Life	Category 2
EBS MajorCare	Category 2
Econoterm TPD Cover	Category 2
Endowment	Category 2
Executive Superinvestor	Category 2
Executive Superinvestor Trust	Category 2
Family Protection Benefit	Category 2
Financial Independence Plan (Life)	Category 2
Flagship (Life)	Category 2
Flexiterm	Category 2
Heritage	Category 2
Home Plan	Category 2
Income Protection – Agreed Value (1997)	Category 2
Income Protection Plan	Category 2
Income Protection Plan – Executive	Category 2
Income Protection Plan – Premier	Category 2
Income Protection Plan – Sickness Only	Category 2
Income Protection Plan – Value 1993	Category 2
Income Protection Plan – Valueplus	Category 2
Income Protection Plan (1993)	Category 2
Income Protector	Category 2
InVest Assurance (IVA)	Category 2
Investor Plus	Category 2
Investorbond	Category 2

CLOSED TO NEW BUSINESS: CATEGORY 2

The products listed below are Category 2 products closed to new business.

PRODUCT/SERVICE NAME	FAA PRODUCT CATEGORY
Key Person Protection	Category 2
Lawsafe Term Life	Category 2
Level Temporary Insurance	Category 2
Level Term	Category 2
Level Term Assurance	Category 2
Life Advancement Plan	Category 2
Lifibase Death Benefit	Category 2
LifeGuard	Category 2
LifeLink	Category 2
Lifetime Plan (NPF)	Category 2
LifeWise	Category 2
Living Care (Low Cost)	Category 2
Living Care (With Savings)	Category 2
MajorCare	Category 2
Maximum Investment Plan	Category 2
Mortgage Protection (ex ACL)	Category 2
Optionmaster	Category 2
Property Investment Plan	Category 2
Prupac	Category 2
Pruterm	Category 2
Pure Endowment	Category 2
Rainbow Bonds	Category 2
Reassure Business Expenses Plan	Category 2
Recovery Power	Category 2
Retirement & Emergency (RETA)	Category 2
Risk Protection Plan	Category 2
Savings and Protection Plan (SPP)	Category 2
Serious Conditions Cover (1995)	Category 2
SIMU Property Investment Policy	Category 2
Sovereign Essentials	Category 2
Sovereign Investment Bond	Category 2
Specified Events Cover (1995)	Category 2
SuperBond	Category 2
SureStart	Category 2
Temporary Insurance	Category 2
Term Assurance	Category 2
Term Cover – Fixed Date	Category 2
TotalCare	Category 2
TotalCare Family Income Cover – Ten Year Level Term Cover, Fixed Date	Category 2
TotalCare Life Cover – Ten Year Level Term	Category 2
TotalCare Premier Income Protection – Over 65 Cover	Category 2
TotalCare Premier Vitalcare	Category 2
TotalCare Value Income Protection – Agreed Value	Category 2
Triple C	Category 2
Trustcover Term Life Insurance – Inflation Cover	Category 2
Vantage Plan	Category 2
Vitalcare – Stepped Premium (1996)	Category 2
Vitalcare (1996)	Category 2
Whole of Life	Category 2
WomensCare (Low Cost)	Category 2

IMPORTANT INFORMATION

This document has been prepared as at 28 July 2011 by Sovereign Services Limited ("Sovereign") in order to provide you with general guidance around the categorisation of Sovereign products. While the information contained in this document is believed to be reliable, Sovereign neither certifies nor warrants that the information is correct as some products under the categories (in particular category 1) in the Financial Advisers Act 2008 are yet to be defined by regulation.

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